

Presidium of the Russian Academy of Sciences
Department for multilateral scientific cooperation
with European countries



Evaluation of Proposals in IRSES Calls of FP7 («Marie Curie Actions»)

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Available FP7 Calls for Russian Participation

(«Marie Curie Actions» Programme)

Third Countries organisations (... RUSSIA...)



Initial Training of Researchers

Marie Curie Initial Training Networks (ITN)

Industry Academia Partnerships and Pathways

Marie Curie Industry Academia Partnerships and Pathways (IAPP)

International Dimension

Marie Curie International Outgoing Fellowships (IOF)

Marie Curie International Incoming Fellowships (IIF)

International Research Staff Exchange Scheme (IRSES)



International Research Staff Exchange Scheme, (IRSES), «Marie Curie Actions»

http://ec.europa.eu/research/participants/portal/page/people?callIdentifier=FP7-PEOPLE-2012-IRSES





Call References, Main Documents

Call Fiche

MARIE CURIE INTERNATIONAL RESEARCH STAFF EXCHANGE SCHEME (IRSES)

- Call identifier: FP7-PEOPLE-2012-IRSES
- Date of publication: 20 July 2011
- Deadline: 18 January 2012 at 17.00.00, Brussels local time¹
- Indicative budget: EUR 30 million of the 2012 budget². The final budget awarded to
 this call, following the evaluation of projects, may however vary up to 10% of the total
 value of this call
- Topics called:

ACTION	Funding Schemes
Marie Curie International Research	Support for training and career development of
Staff Exchange Scheme	researchers

Eligibility conditions:

 The general eligibility criteria are set out in Annex 2 to this work programme, and in the guide for applicants. Please note that the completeness criterion also includes that part B of the proposal shall be readable, accessible and printable.

Additional eligibility criteria

- This action addresses partnership composed of at least two independent "research organisations" established in at least two different Member States or associated countries, and one or more "research organisations" either located in countries with which the European Union has or is in the process of negotiating an S&T agreement, or in countries covered by the European Neighbourhood Policy?
- For further details concerning these conditions you must refer to the core text of the work programme.
- Only information provided in Part A of the proposal will be used to determine
 whether the proposal is eligible with respect to the minimum number of eligible
 participants.

Evaluation procedure:

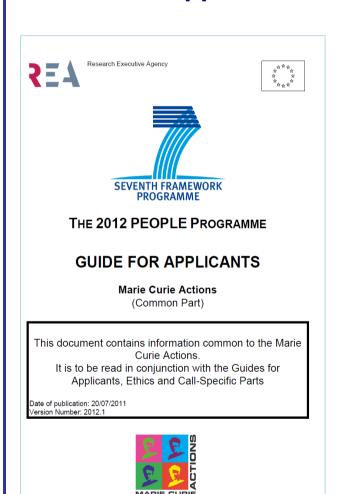
 The evaluation criteria (including weights and thresholds) and sub-criteria together with the eligibility, selection and award criteria, for the different funding actions are set out in Annex 2 to this work programme.

Work Programme

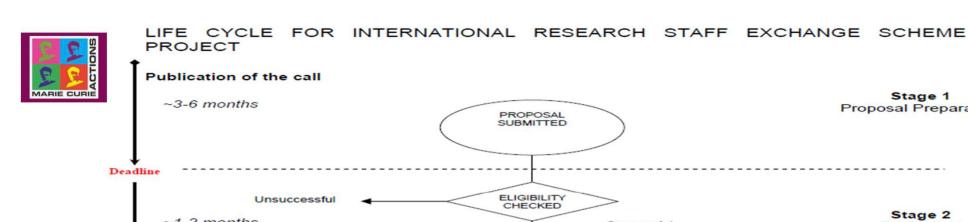
THE PEOPLE Work Programme 2012

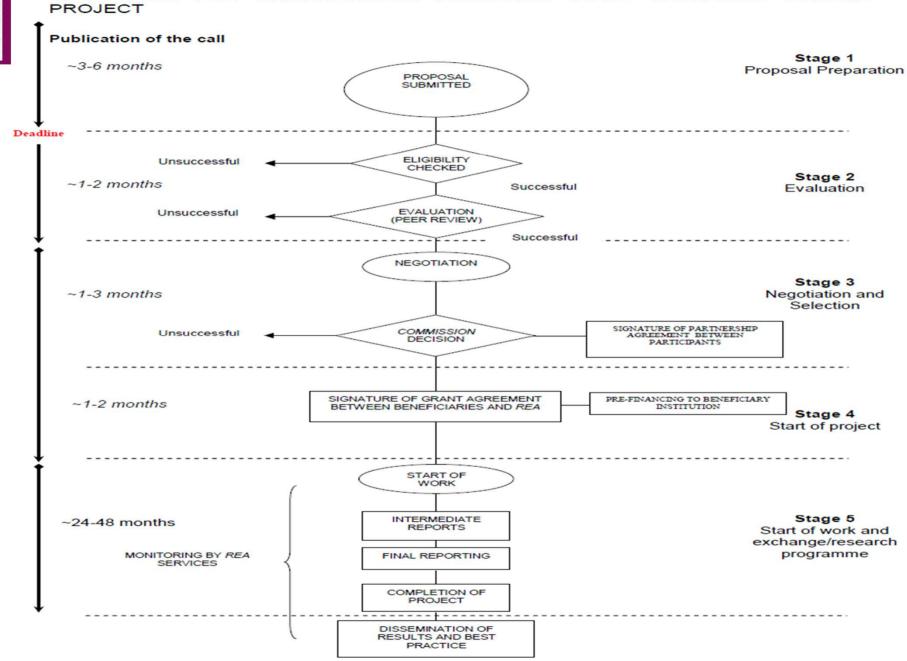
(European Commission C(2011)5033 of 19 July 2011)

Guide for Applicants

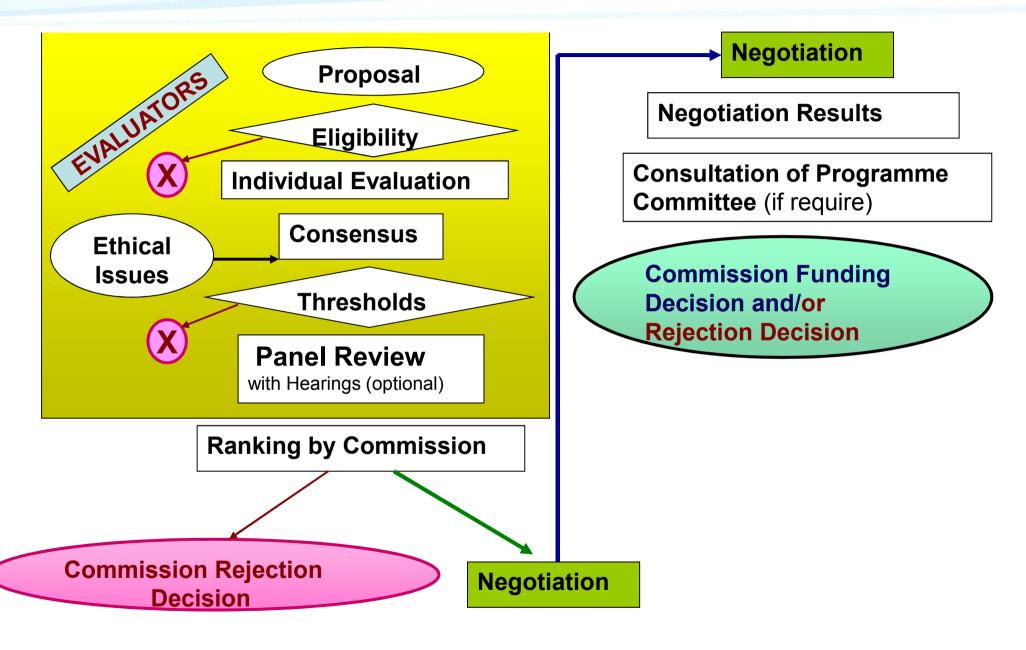


http://ec.europa.eu/research/participants/portal/page/people;

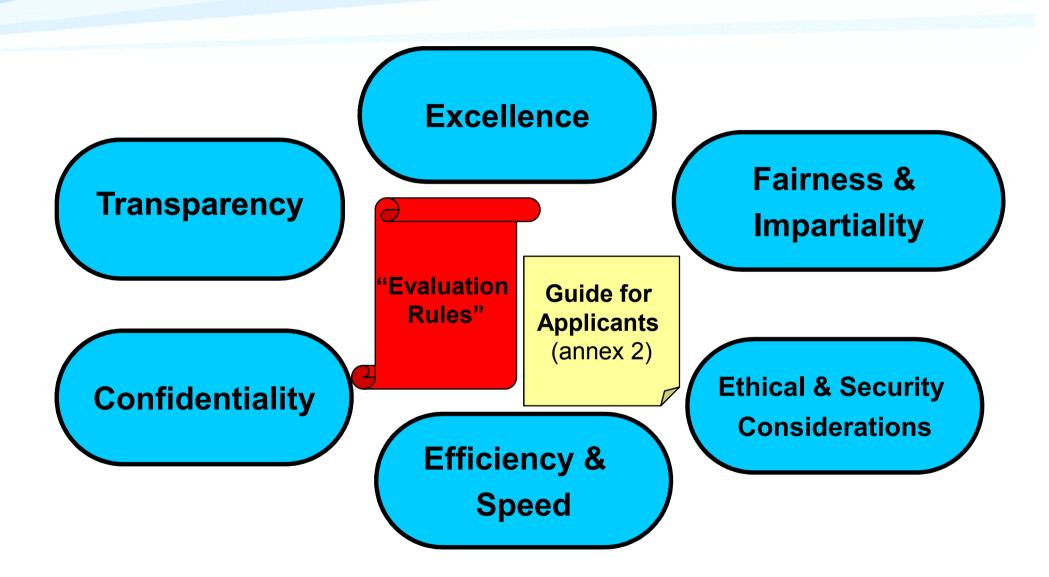




Steps in Proposal Proceedings



Basic Principles of Evaluation



Guidelines on Proposal Evaluation and Selection Procedures

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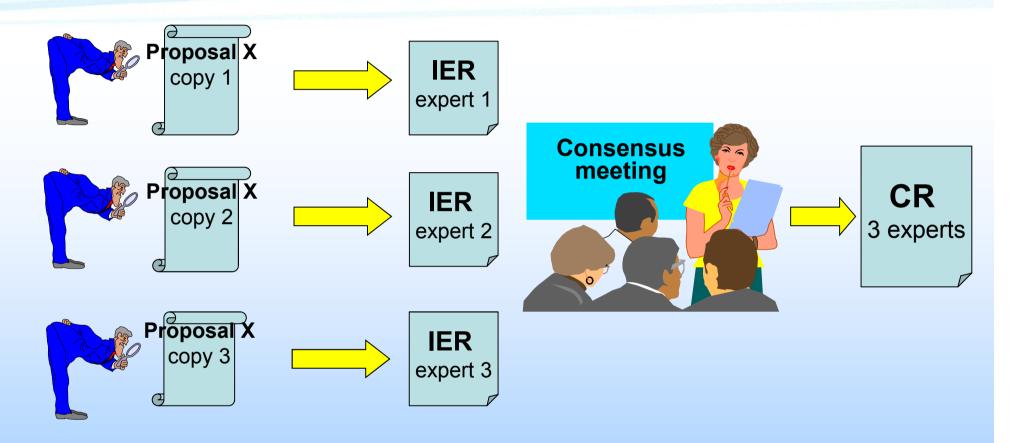
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Evaluating a proposal

Three Guiding Principles:

- → Objectivity
 - Each proposal is evaluated as it is written
- → Accuracy
 - Experts make their judgment against the official evaluation criteria, and nothing else
- → Consistency
 - Experts apply the same standard of judgment to each proposal

Evaluation of each proposal



Note: There may be more than 3 evaluators

IER = Individual Evaluation Report CR = Consensus Report

Consensus

- → Built on the basis of the individual evaluations
- → The aim is agreement on scores and comments
- → Usually involves a discussion
- → "Outlying" opinions need to be explored
 - Not just a simple averaging exercise
 - It is quite normal for individual views to change
- → <u>Moderated</u> by a Commission staff-member
 - helps the group reach a conclusion
 - provides information if necessary
 - does not contribute opinions



Proposal scoring

→ Each criterion is scored 0 - 5

- Partial -scores allowed
- whole range should be considered
- Scores must pass <u>thresholds</u> if a proposal is to be considered for funding
- → Thresholds apply to individual criteria...
 - Default threshold is 3
- ...and to the total score
 - higher than the sum of the individual thresholds
 - Default threshold is 10
- → (Can vary from call-to-call!)

Conflicts of interest

→ Disqualifying Conflicts of Interest

- Involved in preparation of proposal
- Stands to benefit directly
- Close family relationship
- Director/trustee/partner
- Employee (<u>but</u>, possible exception...)
- Member of Advisory Group
- Any other situation that compromises impartiality

→ Potential Conflicts of Interest

- Involved in research collaboration in previous 3 years
- Any other situation that casts doubt...or that could reasonably appear to do so...

Confidentiality

- No discussion of the content of proposals, or the evaluation results, with anyone.
 - The sole exception: in a consensus group or final panel
- No disclosure of the names of the evaluating experts
- The Commission publishes names annually
 - But as a group no link between expert and proposal
- Security measures at the evaluation building
 - Mobile phones are not allowed in the evaluation rooms!
 - Laptops should not be brought in the evaluation premises!
 - All paper work should remain in the evaluation room all the time
 - All proposal & evaluation materials are strictly obliterated





International Research Staff Exchange Scheme



IRSES Funding scheme:

IRSES Fundin	g scheme: "International R	esearch Staff Exchange	Scheme"
Quality of the Exchange Programme Weighting:25%	Transfer of Knowledge Weighting: 30% Threshold 3	Implementation Weighting: 15%	Impact Weighting: 30% Threshold 3
Objective and relevance of the joint exchange programme	Quality and mutual benefit of the transfer of knowledge	Capacities (expertise/human resources/facilities/infrastruct ure) to achieve the objectives of the planned cooperation	Relevance of the proposed partnership to the area of collaboration and for the ERA
Scientific quality of the partners	Adequacy and role of staff exchanged with respect to the transfer of knowledge	Appropriateness of the plans for the overall management of the exchange programme	Potential to develop lasting collaboration with eligible Third country partners.
Complementarities/synergies between the partners			

Countries eligible for the International Research Staff Exchange Scheme (IRSES)

Countries with EC International agreements on Science and Technology:

•Argentina, Australia, Brazil, Canada, China, Chile, Egypt, India, Japan, Rep. of Korea, Mexico, Morocco, New Zealand, Russia, South Africa, Tunisia, Ukraine, United States

Countries of the European Neighbourhood Policy (ENP):

- Eastern Europe & Central Asia (EECA)
- •Armenia, Azerbaijan, Belarus, Georgia, Moldova, Ukraine
- Mediterranean Partner Countries (MCP)
- •Algeria, Egypt, Jordan, Lebanon, Libya, Morocco, Palestinian-administrated areas, Syrian Arab Rep., Tunisia

The evaluation criteria for IRSES Proposals

Criteria adapted to each funding scheme and each thematic area

specified in the Work Programme

→ Five main criteria:

- B1 Quality of the Exchange Programme (relevant to the topic of the call)
 - Objective and relevance of the joint exchange programme
 - Scientific quality of the partners
 - Complementarities/synergies between the partners
- B2 Transfer of Knowledge
 - Quality and mutual benefit of the transfer of knowledge
 - Adequacy and role of staff exchanged with respect to the transfer of knowledge

The evaluation criteria for IRSES Proposals

B3 - Implementation

- Capacities (expertise/human resources/facilities/ infrastructure) to achieve the objectives of the planned cooperation
- Appropriateness of the plans for the overall management of the exchange programme

B4 - Impact

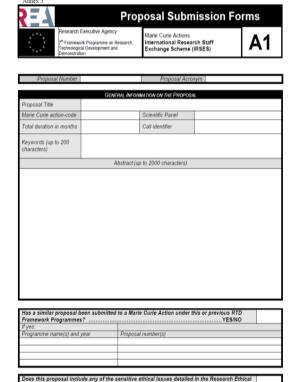
- Relevance of the proposed partnership to the area of collaboration and for the ERA
- Potential to develop lasting collaboration with eligible Third country partners

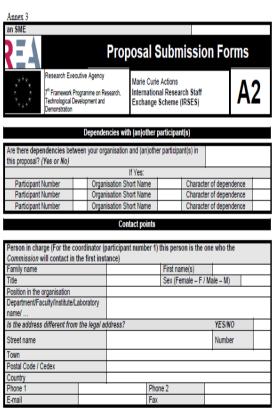
B5 - Ethical Issues

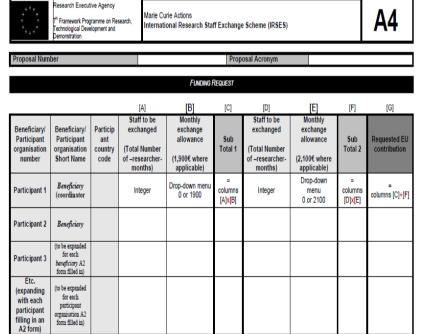
- Annex (if applicable)
- Annex 1 Justification for Community contribution towards Third country partner costs

Part A:

- Administrative information about the proposal and proposers
- Costs and funding requested







Sum

Sum

Total

Sum

Proposal Submission Forms

Part B:

- Description of the main activity content of the proposal (or scientific and technical content)

Annex 4

Annex 4

STARTPAGE

PEOPLE MARIE CURIE ACTIONS

International Research Staff Exchange Scheme

Call: FP7-PEOPLE-2012-IRSES

PART B

"PROPOSAL ACRONYM"

Part B - Table of Contents

To draft PART B of proposals applicants should take into account the following structure and subheadings.

If required for an adequate description of their *project*, applicants may wish to add further headings.

DO NOT FORGET TO SUBMIT THE GANTT CHART AS AN EXCEL FILE IN EPSS

B 1 Quality of the Exchange Programme

- B 1.1 Objective and relevance of the joint exchange programme
- B 1.2 Research quality of the partners
- B 1.3 Complementarities/synergies between the partners

B 2 Transfer of Knowledge

- B 2.1 Quality and mutual benefit of the transfer of knowledge
- B 2.2 Adequacy and role of staff exchanged with respect to the transfer of knowledge

B 3 Implementation

- B 3.1 Capacities (expertise/human resources/facilities/infrastructure) to achieve the objectives of the planned cooperation
- B 3.2 Appropriateness of the plans for the overall management of the exchange programme

B 4 Impact

- B 4.1 Relevance of the proposed partnership to the area of collaboration and for the ERA B 4.2 Potential to develop lasting collaboration with eligible third country partners, in particular in view of setting-up joint research projects
- B 5 Ethics Issues

Part B:

Annex 4

B 1 Quality of the Exchange Programme

B 1.1 Objective and relevance of the joint exchange programme

- Describe the objectives of the joint exchange programme
- Give an overall description of the exchange scheme and the planned scientific activities

Please provide in this section:

- the description of the Work Packages divided by specific tasks
- · the list of milestones, where appropriate
- the Gantt Chart of secondments

The tables which are proposed below can be taken as example:

Table 1: List of Work Packages

Work package n°	Work package title	Beneficiary/Partner organisation short name	Start month	End month
1				
2				
-			-	-

Table 2: Work Packages 10

The work packages should be described one by one.

Work package number	1	Start date or starting event:	Month
Work package title			
Beneficiary/Partner Organisation short names			

Part B:

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Table 4: Gantt chart of secondments (please use the template that is available from EPSS)

The Gantt allows for having a clear overview of the exchanges planned for the project and is thus crucial for the evaluation.

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PARTICIPANT NUMBER	ORIGIN PARTNER	ORIGIN	HOST PARTNER	HOST	SECONDED TYPE	(MONTHS)		1	2	3 4	5	6 7	1 8	9 1	10 11	12	1 2	3	4 5	6	7	8 9	10	11 1	2 1	2	3 4	5	6 7	8	9	10 1	12	1	2 3	4	5	6 7	8	9	10 1	11 12
				-		(monthing)		1	2	3 4	5	6 7	1 8	9 1	10 11	12	13 14	15	16 1	7 18	19	20 2	22	23 2	4 25	28	7 2	29	30 3	1 32	33	34 3	5 38	37	38 3	40	41	42 4	3 44	45	48 4	47 48
1	P1	EU/AC	P3	TC	ER1	3	6			Ι		Т	Ι		Ι		Ι		Ι	Ι			П	Ι	Ι	П		П	Ι			Ι						Т				
1	P1	EU/AC	P3	ICPC	ESR2	3	15						Ш		Т		Т		1				П	Ι	Ι				Ι			Т			Ι							
1	P1	EU/AC	P3	TC	ESR2	6	18		Н	Т		I	Е	П	Ι	П	Ι	П	Ι				П		Т	П	Ι	П	Ι			Т		Ι	Ι			_				
1	P1	EU/AC	P4	ICPC	ER1	6	32						L		Ι	П		П	Ι	Ι			П	Ι	Ι			П	Ι													
1	P1	EU/AC	P4	TC	ESR2	1	27			Τ		Τ	Γ		Т	П	Т	П	Τ				П	T	Ι			П	Τ			Τ				Г		T				
1	P1	EU/AC	P4	ICPC	TECHN3	2	40			Т	П	Т	Т	П	Т	П	Т	П	Τ	Ι		Т	П	Ι	Ι	П	Т	П	Τ		I	Τ		Т	Ι			Т		П		
2	P2	EU/AC	P3	TC	ER4	3	6			Т		Т	Т	П	Т	П	Т	П	Т	Г		Т	П	Τ	Ι	П	Т	П	Τ		П	Т		П	Τ			Т		П		
2	P2	EU/AC	P3	ICPC	ESR5	12	14			Т				П	Ι	П		П	Ι			Ι	П	Ι	Ι	П	Ι	П	Ι		Ι	Ι		Ι	Ι			Т		П		
2	P2	EU/AC	P4	TC	MANA06	1	27			Т		I	Т	П	Ι	П	Т	П	Ι	Ι		Т	П	Ι	Ι			П	Ι		Ι	Ι		Π	Ι			Т		П		
2	P2	EU/AC	P4	ICPC	ESR7	4	40			Τ		Ι	Т	П	Ι	П	Т	П	Ι	Ι			П	Ι	Ι	П	Т	П	Ι		П	Ι		Ι	Ι			Т				
3	P3	ICPC	P1	EU/AC	ER8	2	32			Т	П	Т	Т	П	Т	П	Т	П	Т	Т	П	Т	П	Т	Т	П	Т	П	Т			Т		Т	Т		П	Т	Г	П		
3	P3	ICPC	P2	EU/AC	ER8	2	16			Т	П	Т	Т	П	Т	П	Т	П	Т		Т	Т	П	Т	Т	П	Т	П	Т		Т	Т	П	Т	Т	П	П	Т	Т	П		
4	P4	ICPC	Pf	EU/AC	ER9	3	15			Т		Т	Т		Т	П	Т	П	Т				П	Т	Γ	П		П	Т			Т						Т				
4	P4	ICPC	P2	EU/AC	ESR10	6	24			Ε		I	Г		Γ		Т					T	П		Ι				Ī			I						T				
5	P5	TC	P1	EU/AC	ER11	6	3			Ι			Ι		Ι	П			Ι	I			П	Ι	Ι				Ι													
5	P5	TC	P2	EU/AC	MANAG11	2	6			Ι		I			Ι				Ι					I					I			I						T				

It is mandatory to fill and submit the Gantt chart via EPSS as an Excel table.

^{*} Please insert the same columns as in the example

Annex 4

The Gantt chart should illustrate the secondments of exchanged staff towards all the partner organisations for the whole duration of the project.

 Demonstrate that the numbers of exchanged staff and the duration of their exchange are adequate to achieve the objectives of the programme.

B 1.2 Research quality of the partners

- Describe the expertise of the partners in the relative scientific field(s)
- Describe the experience of the partners in international cooperation

B 1.3 Complementarities/synergies between the partners

Describe the complementarities and synergies between the partners

Illustrate how these complementarities and synergies will contribute to achieving the objectives of the programme

B 2 Transfer of Knowledge

B 2.1 Quality and mutual benefit of the transfer of knowledge

- Describe the programme for the transfer of knowledge between the partners.
 Please give detailed information about, for example, the number of workshops/conferences/training, the target audience, sustainability of the knowledge transfer, etc.
- Describe the added value (in terms of gained knowledge) for the partners involved

B 2.2 Adequacy and role of staff exchanged with respect to the transfer of knowledge

- Describe the role of the exchanged researchers and their specific expertise. Define the goals to be achieved through their exchange
- If applicable: describe the reasons for exchanging managerial/technical staff and explain their specific role and the goals to be achieved through their exchange

B 3 Implementation

- B 3.1 Capacities (expertise/human resources/facilities/infrastructure) to achieve the objectives of the planned cooperation
 - Give a detailed description of the expertise and the human resources/facilities/infrastructure at the partner institutions

B 3.2 Appropriateness of the plans for the overall management of the exchange programme

- Describe the management plan of the exchange scheme (e.g. support for detached and incoming personnel)
- Demonstrate that the complementarities and synergies between the partners are well exploited

Annex 4

Give details of the available matching funds

B 4 Impact

- B 4.1 Relevance of the proposed partnership to the area of collaboration and for the European Research Area¹¹
 - Describe the partnership's contribution to the area of collaboration
 - Describe the relevance of the exchange between the partner countries for ERA
- B 4.2 Potential to develop lasting collaboration with eligible third country partners, in particular in view of setting-up joint research projects
 - Give a detailed overview over the measures taken to create or reinforce a lasting cooperation between the partners

B 5 Ethics Issues

Describe any ethics issues that may arise in the proposal. In particular, you should explain the benefit and burden of the experiments and the effects these may have on the research subject.

This should be done in conjunction with the information provided in Guide for Applicants, Marie Curie Actions (Ethics) and for all proposals the following table must be completed.

Part B: Ethical Issues

ETHICS ISSUES TABLE

(Note: Research involving activities marked with an asterisk * in the left column in the table below will be referred automatically to Ethics Review)

	Research on Human Embryo/ Foetus	YES	Page
*	Does the proposed research involve human Embryos?		
*	Does the proposed research involve human Foetal Tissues/ Cells?		
*	Does the proposed research involve human Embryonic Stem Cells (hESCs)?		
*	Does the proposed research on human Embryonic Stem Cells involve cells in culture?		
*	Does the proposed research on Human Embryonic Stem Cells involve the derivation of cells from Embryos?		
	I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY PROPOSAL		

	Research on Humans	YES	Page
*	Does the proposed research involve children?		
*	Does the proposed research involve patients?		
*	Does the proposed research involve persons not able to give consent?		
*	Does the proposed research involve adult healthy volunteers?		
	Does the proposed research involve Human genetic material?		
	Does the proposed research involve Human biological samples?		
	Does the proposed research involve Human data collection?		
	I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY PROPOSAL		

Privacy	YES	Page
Does the proposed research involve processing of genetic information or personal data (e.g. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)?		
Does the proposed research involve tracking the location or observation of people?		
I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY PROPOSAL		

	Research on Animals	YES	Page
	Does the proposed research involve research on animals?		
	Are those animals transgenic small laboratory animals?		
	Are those animals transgenic farm animals?		
*	Are those animals non-human primates?		
	Are those animals cloned farm animals?		
	I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY PROPOSAL		

Research Involving Developing Countries	YES	Page
Does the proposed research involve the use of local resources (genetic, animal, plant, etc)?		
Is the proposed research of benefit to local communities (e.g. capacity building, access to healthcare, education, etc)?		

Useful Tips & Remarks

When you are writing your proposal...

Novelty: Structure your offer so as to make your research potential clearly emerge

- Have a clear project outline
- Time scheduling
- Select the right instrument
- Get the practicalities done as soon as possible
- Find the right partner for the right activity
- Make it easy for the evaluator to select you
 - > Do not expect the evaluator are top experts in your field
 - Do not write too much
 - Do not write too less have concluding remarks at the end of each section
- Be precise and clear in you plan of activities
- Balance your budget
- Find the right acronym
- FP7 and mobility actions

Useful Tips & Remarks

When you writing your proposal...

Make it *easy* for evaluators to give you high marks. Don't make it hard for them!

Make sure you submit the <u>latest</u>, <u>complete</u> version of your proposal

Don't write too little; cover what is requested Don't write to much

Don't leave them to figure out why it's good, tell them why it's good

Leave nothing to imagination

Useful Tips & RemarksWhen you are writing your proposal...

Divide your effort over the evaluation criteria

 Many proposers concentrate on the scientific element, but lose marks on project implementation or Impact description

Think of the finishing touches which signal quality work:

- clear language
- well-organised contents, following the Part B structure
- useful and understandable diagrams
- no typos, no inconsistencies, no obvious paste-ins,
- no numbers which don't add up,
- no missing pages ...

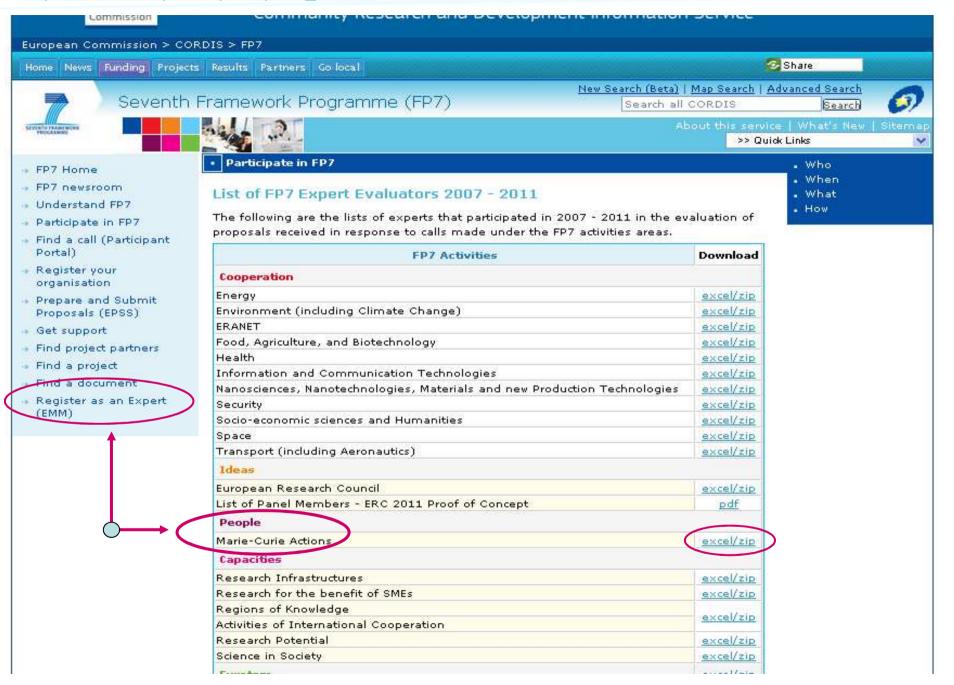
Central or Remote Evaluation

- Central evaluation take place in Brussels
- Remote evaluations at place of evaluator (using PESS)
- Panels of 3 experts and more may be used
- Evaluators do not communicate with each other until their IER has been submitted on PESS
- Once IERs are submitted on-line, they can not altered

The evaluator is normally allocated 2 to 4 hours to fully evaluate each proposal

PESS – Proposals Evaluation Software System IER – Individual Evaluation Report

http://cordis.europa.eu/fp7/experts en.html





Contacts







Thanks for your attention

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